

Greenville, SC based SANDLAPPER Securities, LLC (“SANDLAPPER”), a full service financial services firm, has **IMMEDIATE** openings for **SIX (“6”)** entrepreneurial minded individuals seeking a dynamic career in financial services. Those interested in helping investors build diverse portfolios of investments designed for their individual needs seeking **UNLIMITED** earning potential while gaining a true career path with one of America and South Carolina’s fastest growing financial services firms should apply today.¹

WHAT IS A FINANCIAL ADVISOR? – Historically referred to as a “Stockbroker,” today’s Financial Advisor does so much more than execute buy and sell orders of publically traded stocks for clients. Today’s Financial Advisor works WITH clients in understanding, building, managing and growing their overall financial picture and work to achieve their needed goals within the bounds of individual customer specific risk tolerance levels and within needed time horizons. Today’s Financial Advisor is as much counselor as they are investment manager. Specific duties would include, but are not limited to:

- Prospect and develop client relationships
- Provide customer specific advice as to how they may achieve their financial goals
- Provide retirement planning solutions
- Estate Planning Solutions
- Asset Allocation Modeling
- Researching products, strategies and solutions to meet individual customer needs
- Adhere to Federal and State Securities Laws, SRO Rules and Regulations and Firm Policies and Procedures
- Operate with the highest moral and ethical standards to properly represent the Firm Brand and Identity
- Continue your education daily in financial services as well as domestic and global markets

EMPLOYMENT REQUIREMENTS

- All candidates will be required to submit to a thorough background and credit check for consideration
- Be able to obtain within 90 days of employment, if not already in possession of;
 - FINRA Series 7 General Securities Representative License
 - FINRA Series 66 Uniform Combined State Law License
 - Insurance License

EDUCATION AND QUALIFICATIONS

- A minimum of a bachelor’s degree (Business, Finance, Accounting or related preferred)
- Series 7 and 66 preferred but not required (firm will train)
- Verifiable sales experience
- Entrepreneurial Drive/Self-motivated
- Ability for critical thinking
- Good communication skills

¹ #2, 4 **2013, 2014** SC Fastest Growing Companies (Integrated Media and The Capital Corporation), #11, 1, 14 Small Company Winner **2012, 2013, 2015** Roaring 20’s (SC Biz News), **2013** - 1,009 overall, 67th of 100 in financial services and 11th fastest growing company based in SC, **2014** - 861 overall, 56th of 300 in financial services and 7th fastest growing company based in SC, **2015** – 1,163 overall, 7th of 57 in financial services and 1st fastest growing financial services firm based in SC Inc. 5,000, (Inc. Magazine)

COMPENSATION AND BENEFITS

- UNLIMITED Commission and Fee Based Earning Potential
- Modest Base Salary
- Training Allowance
- Benefits
 - Health Insurance
 - HSA Savings Accounts
 - Dental Insurance
 - Disability and Life Insurance
 - 401(k) Plan
- Training and Licensing
- Company provided office
- Sales and Marketing Support

EQUAL EMPLOYMENT OPPORTUNITY

SANDLAPPER Securities, LLC does not discriminate on the basis of race, color, gender, religion, national origin, age, disability, sexual orientation, pregnancy, veterans status, or any other basis prohibited by applicable law.

ABOUT SANDLAPPER SECURITIES, LLC - SANDLAPPER is a full service broker dealer and dealer manager of investment products and part of the SANDLAPPER family of investment companies (see www.GoSandlapper.com for more information). Our best in class personnel and representatives tailor strategies, recommendations and construct portfolios “designed” to meet a client’s individual needs.

Established in 2005 as the syndication manager for a nationally recognized and award winning real estate investment firm, SANDLAPPER was the compliance and distribution engine for assets acquired nearing a billion dollars in value nationwide.

Today, SANDLAPPER has grown beyond its singularly focused roots to provide full service financial advice in 39 locations located throughout 19 states with 61 licensed representatives. SANDLAPPER has aligned itself with some of the financial services industry’s top representatives giving them access to the products, services and support THEY need in order to build, develop and manage their individual investment strategies.

To apply please send cover letter, resume, references and a detailed track record of sales experience to:

Trevor Gordon, CEO
SANDLAPPER Securities, LLC
SANDLAPPER FINANCIAL CENTER
800 E. North St., 2nd Floor
Greenville, SC 29601

Or via email to:
Compliance@sandlappersecurities.com

NO CALLS OR UNANNOUNCED/UNSCHEDULED VISITS TO THE CORPORATE OFFICES